

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No. 1871-132
First Inventor Herbert Herzog
Title NOVEL G PROTEIN-COUPLED RECEPTOR-ENCODING G
AND DIAGNOSTIC USES THEREFOR

U.S. PTO
16/073054
02/12/02

Assignee Information: Garvan Institute of Medical Research
Darlinghurst, NSW, 2010 Australia

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner of Patents
Box Patent Application
Washington, D.C. 20231

1. ☐ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
3. ☒ Specification Total pages [72]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross references to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) (Total Sheets) [15]
5. ☐ Oath or Declaration (Total Pages) []
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application
(37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
6. ☒ Application Data Sheet.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program
8. Nucleotide and/or Amino Acid Sequence Submission
 - a. ☒ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☒ paper (43 pages)
 - c. ☒ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement
(when there is an assignee)
 - ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement /PTO 1449
 - ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s).
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).
17. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in an application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/308,696

Prior application information: Examiner R. Shukla Group/Art Unit 1632 For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

☒ Customer Number or Bar Code Label **6449**

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Name	Barbara G. Ernst, Reg. No. 30,377				
Signature	Barbara G. Ernst			Date	Feb. 12, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)
)
Herbert HERZOG et al.)
)
Serial No.:)
)
Filed:)
)
For: NOVEL G PROTEIN-COUPLED)
RECEPTOR-ENCODING GENE
AND DIAGNOSTIC USES
THEREFOR)

STATEMENT UNDER 37 C.F.R. §§ 1.821(f)

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This application includes a paper copy of the Sequence Listing. In addition, a copy of the Sequence Listing recorded on a diskette in a computer readable form is submitted herewith. I hereby state that the information recorded in computer readable form is identical to the written sequence listing.

Respectfully submitted,

By: Barbara G Ernst
Barbara G. Ernst
Attorney for Applicants
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